

Largest registered investment advisory firms in Greater Hartford

(Ranked by number of local investment advisors as of June 2018)

Rank	Firm	No. of local investment advisors	Local assets under management	Total no. of investment advisors	Total assets under management	Top local executive	Headquarters	Year founded
1	RBC Wealth Management (1) 20 Church St., Suite 2300 Hartford, CT 06103 800-243-2478; www.rbcwmfa.com	73	\$9,420,000,000	1,800	\$336,000,000,000	Aaron Scott, Director, Hartford complex	Minneapolis, MN	1909
2	Webster Investments (2) 64 S. Main St. Wallingford, CT 06492 203-741-6580; public.websteronline.com	48	\$2,300,000,000	55	\$3,200,000,000	John Olerio, SVP & director	Waterbury, CT	1995
3	Fiduciary Investment Advisors 100 Northfield Drive, 4th Floor Windsor, CT 06095 860-683-1187; www.fiallc.com	16	\$69,000,000,000	19	\$69,000,000,000	Mark Wetzels, President	Windsor, CT	2006
4	Northstar Wealth Partners (3) 433 South Main St., Suite 322 West Hartford, CT 06110 888-886-7737; www.northstarwealthpartners.com, www.nstarwp.com	13	\$1,077,130,122	13	\$1,077,130,122	Robert Laraiia, Founding partner	West Hartford, CT	2009
5	YHB Investment Advisors Inc. 29 South Main St., Suite 306 West Hartford, CT 06107 860-561-7050; www.yhbia.com	12	\$1,349,562,000	12	\$1,349,562,000	Paul R. Martel, President Mark W. Everette, CEO	West Hartford, CT	1989
6	Bradley, Foster & Sargent Inc. City Place II, 185 Asylum St. Hartford, CT 06103 860-527-8050; www.bfsinvest.com	11	\$3,600,000,000	11	\$3,600,000,000	Steven L. Willcox, President & CEO	Hartford, CT	1994
7	Ohanesian/Lecours 433 S. Main St., Suite 104 West Hartford, CT 06110 860-521-4751; www.ol-advisors.com	11	\$820,000,000 (4)	15	\$820,000,000 (4)	Richard Ohanesian, President & partner	West Hartford, CT	1989
8	3D Asset Management Inc. 100 Constitution Plaza, Suite 700 Hartford, CT 06103 860-291-1998; www.3dadvisor.com	10	\$865,000,000	16	\$865,000,000	John O'Connor, President	Hartford, CT	2006
9	Connecticut Wealth Management LLC 281 Farmington Ave. Farmington, CT 06032 860-470-0290; www.ctwealthgmt.com	10	\$677,560,893	10	\$677,560,893	Kevin C. Leahy, President & CEO	Farmington, CT	2010
10	MJP Wealth Advisors 790 Farmington Ave., Building 3 Farmington, CT 06032 860-677-7755; www.mjpwealthadvisors.com	9	\$428,647,854	9	\$428,647,854	Brian Vendig, President & managing executive	Farmington, CT	1981
11	Private Capital Group LLC 29 S. Main St. West Hartford, CT 06107 860-561-1162; www.pcgct.com	8	\$1,017,109,355	9	\$1,017,109,355	Benjamin Kille William Rabbit, Founders	West Hartford, CT	2003
12	Resources Management Corp. 433 South Main St., Suite 200 West Hartford, CT 06110 860-561-1245; resourcesmanagementcorp.com	7	\$793,500,000	7	\$793,500,000	Michael W. Herlihy, President & CEO	West Hartford, CT	1983
13	Karn Couzens & Associates Inc. 10 Melrose Drive Farmington, CT 06032 860-676-2727; karncouzens.com	6	\$334,500,000 (5)	6	\$334,500,000 (5)	Robert A. Karn, Principal Jeffrey Couzens, Vice president	Farmington, CT	1987
14	USI Advisors Inc. (6) 95 Glastonbury Blvd., Suite 102 Glastonbury, CT 06033 860-633-5283; www.usiadvisorsinc.com	4	\$862,521,932	22	\$19,330,627,688	Michael Welz, President & chief investment officer	Glastonbury, CT	1981
15	Robert Hensley & Associates 10 Avon Meadow Lane, Suite 1 Avon, CT 06001 860-678-1090; hensleyassociates.com	4	\$275,000,000	4	\$275,000,000	Robert S. Hensley, President	Avon, CT	1991
16	Smith Bros. Financial LLC 68 National Drive Glastonbury, CT 06033 860-430-3299; www.smithbrothersfs.com	4	\$185,000,000 (5)	4	\$185,000,000 (5)	Stephen Michaels, Financial advisor	Glastonbury, CT	2005
17	Constitution Group of Wells Fargo Advisors 180 Glastonbury Blvd., Suite 301 Glastonbury, CT 06033 860-368-6932	3	\$900,000,000	3	\$900,000,000	Arthur B. Sullivan, Managing director & investment officer Thomas A. Ragonese, Managing director & investment officer Zachary W. Tracey, Financial consultant	St. Louis, MO	2010
18	Hooker & Holcombe 65 LaSalle Road West Hartford, CT 06107 860-521-8400; www.hhconsultants.com	3	\$490,434,538	3	\$490,434,538	Rodger K. Metzger, President	West Hartford, CT	1996
19	SKY Investment Group LLC One Financial Plaza, Suite 1210 Hartford, CT 06103 860-761-9700; www.skyig.com	3	\$201,223,305	3	\$419,700,698	Robert Bingham, President & chief investment officer	Hartford, CT	2005
20	Pinnacle Investment Management Mercer Advisors 573 Hopmeadow St. Simsbury, CT 06070 860-651-1716; pinnacle-investment.com	3	\$180,255,929	70	\$11,053,472,525	John W. Eckel, President	Simsbury, CT	1991
21	Hammond Iles Wealth Advisors 100 Great Meadow Road, Suite 701 Wethersfield, CT 06109 860-258-2600; www.hammondiles.com	3	\$83,783,989	4	\$186,803,551	Greg Hammond, CEO & wealth impact strategist	Wethersfield, CT	2001

Source: Each firm via survey.

Notes: An investment advisor as defined by FINRA provides advice about securities to clients and is required to register with the SEC or the state in which it practices. The company can be called an asset manager, investment counselor, investment manager, portfolio manager or a wealth manager.

Gottfried & Somberg Wealth Management of Glastonbury declined to participate in the 2018 survey. Wethersfield's Olson Mobeck Investment Advisors, a subsidiary of People's United Bank, has been dissolved as a separate entity.

(1) A division of RBC Capital Markets LLC.

(2) Data from 2017 survey.

(3) Securities offered through LPL Financial, member FINRA/SIPC. Investment advice offered through Northstar Wealth Partners, a Registered Investment Advisor and a separate entity from LPL Financial.

(4) Assets under management and assets under advisement.

(5) Figure from 2017 survey.

(6) Part of USI Consulting Group.

—Compiled by Stephanie R. Meagher.